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(54) Thermally conductive organosiloxane compositions.

(57) The combination of known thermally conductive fillers, having an average particle size of from about 10 to about 100 microns, with aluminum nitride having an average particle size of less than one micron improves the thermal conductivity of organosiloxane compositions. The thermal conductivity values achieved using this combination of fillers is higher than can be achieved using the maximum loading of either filler alone that can be present without adversely affecting the ability of the organosiloxane composition to cure or to serve as useful coatings and encapsulants for electronic solid state devices and other substrates.

EP 0 382 188 A1

## THERMALLY CONDUCTIVE ORGANOSILOXANE COMPOSITIONS

One objective of this invention is to utilize the small particle size and good thermal conductivity of aluminum nitride in organosiloxane compositions. Another objective is to increase the thermal conductivity of organosiloxane compositions relative to what is achievable using prior art fillers while retaining the utility of these compositions as coatings, encapsulants and potting compounds for solid state electronic devices.

The combination of known thermally conductive fillers having an average particle size of from about 10 to about 100 microns with aluminum nitride having an average particle size of less than one micron improves the thermal conductivity of organosiloxane compositions. The thermal conductivity values achieved using this combination of fillers is higher than can be achieved using the maximum loading of either filler alone that can be present without adversely affecting the ability of the organosiloxane composition to cure or to serve as useful coatings and encapsulants for electronic solid state devices and other substrates.

This invention provides an improved organosiloxane composition comprising a polyorganosiloxane and a finely divided solid thermally conductive filler. The improvement comprises the presence in said composition of a mixture of thermally conductive fillers comprising (1) up to 60 weight percent, based on the weight of said mixture, of a first filler consisting essentially of aluminum nitride having an average particle size no larger than one micron and (2) at least one additional thermally conductive filler having an average particle size of from 10 to 100 microns, where said mixture constitutes up to 85 percent of the total weight of said composition.

The present upper limit of 85 weight percent for the combination of all thermally conductive fillers is based on the mixture of aluminum nitride with a filler having a density of from about 2.0 to 3.0, which encompasses two of the preferred thermally conductive fillers of this invention, namely powdered silicon metal, which has a density of about 2.0 grams/c.c. and boron nitride, which has a density of 2.2 grams/c.c.

The densities of most of the other thermally conductive fillers that are compatible with organosiloxane compositions are in the range of from 2 to 3 grams/c.c. It will be understood by those skilled in this art that the volume occupied by a given weight of a filler such as silicon metal will be substantially greater than the volume occupied by the same weight of a filler such as magnesium oxide, which has a density of 3.6 grams/c.c., or zinc oxide, which has a density of 5.47 grams/c.c. If oxides within this density range are present as an ingredient of the thermally conductive filler, achieving the optimum combination of thermal conductivity and processability may require a total filler concentration that is above the upper limit of 85 weight percent specified for the present compositions.

The feature that characterizes the present compositions is the presence in an organosiloxane composition of a combination of thermally conductive fillers consisting essentially of finely divided aluminum nitride and at least one additional known thermally conductive filler that is compatible with the polyorganosiloxane portion of the composition and has a particle size within the range of from 10 to about 100 microns. The aluminum nitride constitutes up to about 60 weight percent of this combination and the total concentration of thermally conductive fillers constitutes up to 85 weight percent of the total composition when the densities of the filler(s) other than aluminum nitride are in the range of from 2 to 3 grams per cc, as discussed in the preceding section of this specification.

This invention is based on the synergism of the present filler combinations with respect to the thermal conductivity exhibited by the organosiloxane composition and the rheological properties of the composition. Specifically, the accompanying examples demonstrate that a liquid polydimethylsiloxane containing 72 percent by weight of aluminum nitride having an average particle size below one micron is a dry material that crumbles during blending and cannot be applied as a coherent, void-free coating. Likewise, the combination of the same polydimethylsiloxane and 81 weight percent, based on the weight of the combination, of powdered silicon metal cannot be applied as a coherent, void-free coating. It will be understood by those skilled in the art that the absence of voids is essential to achieving the maximum thermal conductivity from a given filler. All of the present thermally conductive fillers contain finely divided aluminum nitride having an average particle size of less than one micron. This material is commercially available from a number of suppliers, including the Dow Chemical Company. The aluminum nitride constitutes up to 60 weight percent, preferably from 20 to about 50 weight percent, of the total filler, which in turn preferably constitutes at least about 40 weight percent of the total organosiloxane composition.

The high values of thermal conductivity that characterize the present compositions are not achieved when the combined concentrations of aluminum nitride and other thermally conductive fillers total less than 40 weight percent of the organosiloxane composition. This value is preferably between about 60 and 85 weight percent.

Aluminum nitride is known to hydrolyze slowly in the presence of atmospheric moisture to form aluminum oxide, a material with a substantially larger particle size and lower thermal conductivity than aluminum nitride. For this reason, it is desirable to minimize contact between the aluminum nitride and atmospheric moisture during storage and processing of this filler.

Any of the known thermally conductive fillers of the prior art, including those disclosed in the preceding sections of this specification, can be used as the second component of the present thermally conductive fillers in combination with finely divided aluminum nitride so long as the average particle size of the second component is within the range of from 10 to about 100 microns. The second component can comprise a single filler or combinations of two or more of these fillers. Finely divided metal powders and metal nitrides are preferred fillers based on the high thermal conductivity of these materials and their availability in the desired particle size range of from 10 to about 100 microns. Silicon metal, boron nitride and aluminum nitride are particularly preferred for this reason.

It should be noted that two different sizes of aluminum nitride particles, one less than one micron and the second from 10 to 100 microns, can be used as a thermally conductive filler composition of this invention.

Any of the known liquid or gum-type polyorganosiloxanes can be used as a matrix material for the thermally conductive filler combinations of this invention. Polyorganosiloxanes are characterized by the presence of at least one type of repeating unit represented by the formula  $R_nSiO_{(4-n)/2}$ , where R represents a monovalent hydrocarbon radical or a monovalent substituted hydrocarbon radical and n represents the integer 1, 2 or 3. The average value of n for all of the repeating units in a given molecule of polyorganosiloxane will typically range from about 1.5 and 2.5.

Each molecule of polyorganosiloxane can optionally contain one or more functional groups bonded to at least one silicon atom. These groups include but not limited to hydroxyl, amino, alkoxy, carboxy, mercapto, hydrogen atoms and ethylenically unsaturated hydrocarbon radicals such as vinyl. The presence of at least two of the same type of functional group per molecule is required if it is desired to cure the polyorganosiloxane by means other than a free radical mechanism.

If it is desired to incorporate a total of more than about 60 weight percent of thermally conductive fillers in a composition that can be applied as a smooth, coherent coating at room temperature using conventional equipment the viscosity at 25 °C. of the polyorganosiloxane should be less than about 100 Pa\*s, preferably no more than about 50 Pa\*s.

If the present composition is a non-curable gel or grease the polyorganosiloxane is typically a triorganosiloxy-terminated homopolymer or copolymer where at least a portion of the repeating units are diorganosiloxane units and the organic groups bonded to the silicon atoms are alkyl containing from 1 to 4 carbon atoms, such as methyl. At least a portion of these organic groups can be fluoroalkyl, such as 3,3,3-trifluoropropyl, if it is desired that the cure composition exhibit a resistance to solubilization in liquid hydrocarbons.

The polyorganosiloxane ingredient(s) can have a linear or branched configuration, depending upon the desired properties of the cured material. Polyorganosiloxanes wherein the average value of n in the preceding formula is less than about 1.8 exhibit a relatively high degree of branching and typically cure to resinous materials, whereas the products obtained by curing substantially linear polydiorganosiloxanes are gels or elastomers unless the cross link density is unusually high.

The reactions used to cure any of the curable type thermally conductive organosiloxane compositions can be of the condensation, addition or free radical type. All of these reactions are well known to those skilled in this art and, therefore, do not require a detailed discussion in this specification.

Condensation reactions resulting in cured organosiloxane compositions typically occur in the presence of atmospheric moisture and involve a polyorganosiloxane containing at least two hydroxyl groups and a silane containing at least three hydrolyzable groups per molecule, such as alkoxy, acetoxo or ketoximo. The silane can be replaced by a partial hydrolysis condensation product of the silane.

Addition curable polyorganosiloxane compositions cure by a hydrosilation reaction between a polyorganosiloxane containing at least two silicon-bonded vinyl or other ethylenically unsaturated hydrocarbon radical per molecule and an organosiloxane compound containing at least two silicon-bonded hydrogen atoms per molecule. This reaction is typically conducted in the presence of a platinum group metal or a compound thereof as the catalyst.

The organosiloxane compositions of this invention are unique by virtue of their high thermal conductivity relative to prior art filled organosiloxane compositions of similar consistency. This feature makes the present compositions especially suitable as thermally conductive coatings for electronic devices that during their operation generate large amounts of heat which must be dissipated to avoid damaging the device. Particularly preferred compositions contain at least 75 weight percent of filler, yet can be applied as

coherent, substantially void-free coatings using conventional techniques.

The following examples are intended to describe preferred embodiments of the present invention and should not be interpreted as limiting the scope of the invention as defined in the accompanying claims. Unless otherwise specified, all parts and percentages specified in the examples are by weight and viscosities were measured at 25 °C.

All of the thermal conductivity measurements reported in the examples were performed at a mean sample temperature of 100 °C. using a Dynatec(R) C-Matic thermal conductivity instrument. Coatings of the samples measuring 0.25 inch (6.36 mm) in thickness were placed between two thermally resistant glass disks measuring 2.25 inches (5.7 cm.) in diameter and separated by a spacer formed from polytetrafluoroethylene.

The measuring instrument applies a known temperature differential between the upper and lower glass plates (expressed as  $T_l - T_u$ ) and measures the resultant thermal flux (Q) passing through the sample. The thermal resistance of the sample is obtained from a calibration plot of  $(T_l - T_u)/Q$  as a function of the thermal resistivity values of previously measured standard materials, expressed in ( $^{\circ}\text{C.} \times \text{square meter}$ )/watt. The thermal conductivity, expressed in units of watts/(meter  $^{\circ}\text{C.}$ ) is then calculated by dividing the thickness of the coating (expressed in meters) by the thermal resistance of the sample. For the present samples, the thickness of the coating was  $6.35 \times 10^{-3}$  meters.

The mean sample temperature of 100 °C. represents one half of the sum of the temperatures of the upper and lower glass plates that form part of the device used to measure thermal conductivity and is expressed mathematically as  $(T_l + T_u)/2$ .

The samples evaluated for thermal conductivity were prepared by blending the thermally conductive filler(s) together with a polyorganosiloxane in a sigma blade mixer under the conditions disclosed in the accompanying examples. The polyorganosiloxane was a liquid exhibiting a viscosity of about 1 Pa·s and consisted essentially of the following concentrations of repeating units: 90 mole percent of dimethylsiloxane units, 5 mole percent of monomethylsiloxane units, 4 mole percent of trimethylsiloxy units and 1 mole percent of dimethylvinylsiloxy units. Polyorganosiloxanes of this type are described in United States Patent No. 4,374,967, which issued to Brown et al. on February 22, 1983.

#### Example 1

This example demonstrates the improvements in thermal conductivity and processability of a polyorganosiloxane composition achieved using three different mixtures of aluminum nitride and powdered silicon metal relative to compositions containing the maximum amount of either of these fillers alone that could be incorporated into the polyorganosiloxane. The aluminum nitride exhibited a particle diameter range of from 0.4 to 0.8 micron. The particle diameter specification for the powdered silicon metal was 95% below 60 microns and a maximum particle diameter of 100 microns.

The polyorganosiloxane and filler(s) used to prepare the samples were blended for one hour under a reduced pressure in a mixer equipped with a steam heated jacket. The temperature within the mixer was about 100 °C.

The concentration of the two fillers and the thermal conductivity of the resultant composition are listed in the following Table 1. The samples containing aluminum nitride or powdered silicon metal as the only filler were evaluated for purposes of comparison and are designated by the letter C as part of the sample number. These control samples contained the maximum amount of filler that could be incorporated into a material which did not crumble during blending. When the control samples were spread onto a metal substrate they could not be applied as a coherent, void-free coating.

Table 1

| Sample No.<br>[Wt. %] | Aluminum<br>Nitride [Wt. %] | Silicon Metal<br>[Watts/(m °C.)] | Thermal<br>Conductivity |
|-----------------------|-----------------------------|----------------------------------|-------------------------|
| 1C                    | 0                           | 81.2                             | 1.85                    |
| 2C                    | 72                          | 0                                | 1.91                    |
| 3                     | 21                          | 49                               | 1.46                    |
| 4                     | 22.5                        | 52.5                             | 1.63                    |
| 5                     | 24.2                        | 56.3                             | 3.1                     |
| 6                     | 41.4                        | 41.4                             | 2.05                    |
| 7                     | 49.8                        | 33.0                             | 1.99                    |

All of the samples could be packed into the space between the two glass disks of the thermal measuring device to form a material that appeared to be free of voids, a requirement for an accurate thermal conductivity value. When samples are evaluated for thermal conductivity it is critical to place the material between the two glass disks in a manner that will ensure good contact between the sample and the glass disks.

The filler loadings in samples 1C, 2C, 6 and 7 were the maximum that could be incorporated into a composition that did not crumble during the blending operation.

The distinguishing feature of the present compositions is their unique ability to be applied as smooth, coherent coatings. The control samples could not be applied as continuous, void-free coatings on a smooth surface, even though they could be packed between the glass plates as required for an accurate thermal conductivity determination.

## Example 2

This example describes the preparation and evaluation of thermally conductive compositions of this invention containing 80 weight percent of different weight ratios of aluminum nitride to powdered silicon metal. The silicon metal was treated with hexamethyl disilazane in the presence of the polyorganosiloxane. The unreacted treating agent was then removed by heating under reduced pressure and the required amount of aluminum nitride added. The compositions were then blended to homogeneity in a sigma blade mixer heated to a temperature of 100 °C. The contents of the blender were maintained under reduced pressure to remove any water introduced with the filler(s).

The thermal conductivity values for these samples are recorded in Table 2.

Table 2

| Sample No. | % Si | % AlN | Thermal Conductivity |
|------------|------|-------|----------------------|
| 6C         | 80   | 0     | 1.68                 |
| 7C         | 72   | 8     | 1.61                 |
| 8          | 64   | 16    | 1.81                 |
| 9          | 60   | 20    | 1.78                 |
| 10         | 48   | 32    | 1.76                 |
| 11         | 40   | 40    | 1.84                 |
| 12         | 32   | 48    | 1.61                 |
| 13         | 20   | 60    | 1.68                 |

These data demonstrate that thermal conductivity is maximized when the weight ratio of silicon metal to aluminum nitride is between about 1:1 and 4:1, i.e. the aluminum nitride constitutes from 20 to 50 percent of the total filler.

## Claims

1. In an organosiloxane composition comprising a polyorganosiloxane and a finely divided solid thermally conductive filler, the improvement comprising the presence in said composition of a mixture of thermally conductive fillers comprising (1) up to 60 weight percent, based on the weight of said mixture, of a first filler consisting essentially of aluminum nitride having an average particle size no larger than one micron and (2) at least one additional thermally conductive filler having an average particle size of from 10 to 100 microns, where said mixture constitutes up to 85 percent of the total weight of said composition.

2. An organosiloxane composition according to claim 1 where said additional thermally conductive filler comprises at least one member selected from the group consisting of powdered silicon metal, boron nitride or aluminum nitride, said first filler constitutes from 20 to 50 weight percent of the total thermally conductive filler, the mixture of thermally conductive fillers constitutes from 60 to 85 weight percent of said composition and said polyorganosiloxane is an uncured liquid material or a liquid material that has been cured by an addition, condensation or free radical reaction.

3. An organosiloxane composition according to claim 2 where said polyorganosiloxane is an uncured liquid having a viscosity of less than 100 Pa·s at 25°C., the silicon-bonded organic groups of said polyorganosiloxane are methyl or 3,3,3-trifluoropropyl and the combined thermally conductive fillers constitute at least 75 weight percent of said composition.



| DOCUMENTS CONSIDERED TO BE RELEVANT   |   |   |   |
|---|---|---|---|
| Category  | Citation of document with indication, where appropriate, of relevant passages   | Relevant to claim   | CLASSIFICATION OF THE APPLICATION (Int. Cl.5)   |
| A   | EP-A-0 295 881 (RAYCHEM CORP.)<br>* Claims *<br>---   | 1   | C 08 L 83/04<br>C 08 K 3/00<br>C 08 K 3/28 //   |
| A   | PATENT ABSTRACTS OF JAPAN, vol. 11, no. 229 (C-437)[2676], 25th July 1987, page 15 C 437; & JP-A-62 43 493 (TOSHIBA SILICONE CO. LTD) 25-02-1987<br>----- |   | H 01 L 23/34<br>(C 08 K 3/00<br>C 08 K 3:02<br>C 08 K 3:28 )<br>(C 08 K 3/00<br>C 08 K 3:28<br>C 08 K 3:38 )<br>(C 08 K 3/28<br>C 08 K 3:28 ) |
|   |   |   | TECHNICAL FIELDS SEARCHED (Int. Cl.5)   |
|   |   |   | C 08 K<br>C 08 L  |
| The present search report has been drawn up for all claims  |   |   |   |
| Place of search<br>THE HAGUE  |   | Date of completion of the search<br>11-05-1990  | Examiner<br>HOFFMANN K.W.   |
| CATEGORY OF CITED DOCUMENTS   |   |   |   |
| X : particularly relevant if taken alone<br>Y : particularly relevant if combined with another document of the same category<br>A : technological background<br>O : non-written disclosure<br>P : intermediate document |   | I : theory or principle underlying the invention<br>E : earlier patent document, but published on, or after the filing date<br>D : document cited in the application<br>L : document cited for other reasons<br>.....<br>& : member of the same patent family, corresponding document |   |

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